



INVESTOR IN PEOPLE

The Patent Office
Concept House
Cardiff Road
Newport
South Wales
NP10 8QQ



I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.

Signed

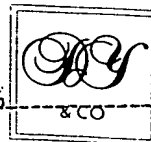
Dated

2 January 2001

**CERTIFIED COPY OF
PRIORITY DOCUMENT**

An Executive Agency of the Department of Trade and Industry

This Page Blank (uspto)



Request for a grant of a patent

(See the notes on the back of this form you can also get an explanatory leaflet from the Patent Office to help you fill in this form)

The Patent Office

Cardiff Road
Newport
Gwent NP9 1RH

1. Your reference P009332GB

2. Patent application number
(The Patent Office will fill in this part)

03 OCT 2000

0024192.7

3. Full name, address and postcode of the
or of each applicant
(underline all surnames)

SUN MICROSYSTEMS, INC
901 SAN ANTONIO ROAD
PALO ALTO
CALIFORNIA 94303
UNITED STATES OF AMERICA

Patents ADP number (if you know it)

07480239001

If the applicant is a corporate body, give
the country/state of its incorporation

STATE OF DELAWARE, UNITED STATES OF
AMERICA

4. Title of the invention

MULTIPLE TRAP AVOIDANCE MECHANISM

5. Name of your agent (if you have one)

D YOUNG & CO

"Address for service" in the United Kingdom
to which all correspondence should be sent
(including the postcode)

21 NEW FETTER LANE
LONDON
EC4A 1DA

Patents ADP number (if you know it)

59006

6. If you are declaring priority from
one or more earlier patent
applications, give the country and
date of filing of the or each of these
earlier applications and (if you know
it) the or each application number

Country

Priority application
number
(if you know it)

Date of filing
(day/month/year)

1st

2nd

3rd

7. If this application is divided or otherwise
derived from an earlier UK application,
give the number and filing date of the
earlier application

Number of earlier
application

Date of filing
(day/month/year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:

a) any applicant named in part 3 is not an inventor, or
b) there is an inventor who is not named as an applicant, or
c) any named applicant is a corporate body.
See note (d))

YES

9. Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document

Continuation sheets of this form	NONE
Description	20
Claim(s)	5
Abstract	1
Drawing(s)	7+7

10. If you are also filing any of the following, state how many against each item

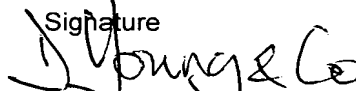
Priority Documents	NONE
Translation of Priority Documents	NONE
Statement of inventorship and right to grant of a patent (Patents Form 7/77)	3
Request for preliminary examination and search (Patents Form 9/77)	1
Request for substantive examination (Patents Form 10/77)	1
Any other documents (Please specify)	NONE

11.

I/We request the grant of a Patent on the basis of this application.

Signature

Date


D YOUNG & CO
Agents for the Applicants

2 Oct 2000

12. Name and daytime telephone number of person to contact in the United Kingdom

Ian Harris

023 80634816

Warning

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

Notes

a) If you need help to fill in this form or you have any questions, please contact the Patent Office on 01645 500505.

b) Write your answers in capital letters using black ink or you may type them.

c) If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheets should be attached to this form.

d) If you answered 'Yes' Patents Form 7/77 will need to be filed.

e) Once you have filled in the form you must remember to sign and date it.

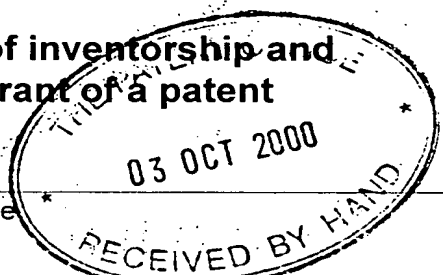
f) For details of the fee and ways to pay please contact the Patent Office.



**Statement of inventorship and
of right to grant of a patent**

The Patent Office

Cardiff Road
Newport
Gwent NP9 1RH



1. Your reference P009332GB

2. Patent application number (if you know it) **0024192.7**

03 OCT 2000

3. Full name of the or of each applicant SUN MICROSYSTEMS, INC

4. Title of the invention MULTIPLE TRAP AVOIDANCE MECHANISM

5. State how the applicant(s) derived the right from the inventor(s) to be granted a patent BY VIRTUE OF AN ASSIGNMENT DATED 28 SEPTEMBER 2000

6. How many, if any, additional Patents Forms 7/77 are attached to this form? (see note (c)) 2

7. I/We believe that the person(s) named over the page (and on any extra copies of this forms) is/are the inventor(s) of the invention which the above patent relates to.

Signature

Date

[Handwritten signature]

D YOUNG & CO

Agents for the Applicants

2 Oct 2000

8. Name and daytime telephone number of person to contact in the United Kingdom 020 7353 4343 Ian Harris

Notes

a) If you need help to fill in this form or you have any questions, please contact the Patent Office on 0645 500505.

b) Write answers in capital letters using black ink or you may type them.

c) If there are more than three inventor, please write the names and addresses of the other inventors on the back of another Patents Form 7/77 and attach it to this form.

d) When an application does not declare any priority, or declares priority from an earlier UK application, you must provide enough copies of this form so that the Patent Office can send one to each inventor who is not an applicant.

e) Once you have filled in the form you must remember to sign and date it.

This Page Blank (uspto)

Enter the full names, addresses and postcodes of the inventors in the boxes and underline the surnames

Surname	<u>HARRIS</u>
First Names	Jeremy Graham
Address	20 Lodge Lane Chalfont St Giles Buckinghamshire HP8 4AF United Kingdom
Patents ADP number (if you know it): <u>07993561001</u>	

Surname	<u>DURRANT</u>
First Names	Paul
Address	59 Devonshire Green Farnham Royal Slough SL2 3DX United Kingdom
Patents ADP number (if you know it): <u>07993587001</u>	

Surname	_____
First Names	_____
Address	_____
Patents ADP number (if you know it): _____	

Reminder:
Have you signed the form?

This Page Blank (uspto)

MULTIPLE TRAP AVOIDANCE MECHANISM

BACKGROUND OF THE INVENTION

- 5 The invention relates to the control of access to system resources in a computer system.

A problem that the present invention addresses is the control of access to resources in a computer system in the event of an error related to the resource to be accessed. The
10 resource can be, for example, a memory location or a block of memory, a memory device, a peripheral device, etc. The error can be as a result of a failure of the resource itself, or a failure along the communication path from the processor to the resource or faulty programming of an application program or of an operating system. In the event of an error, a trap can be taken by the processor to process the fault.
15 Where multiple accesses are attempted to the same resource, multiple traps will be taken. The result of this is that an increasing amount of processor time can be absorbed in processing the fault.

US patent 5,627,965 describes a fault tolerant computer system including a central
20 processor sub-system and a plurality of other subsystems, the subsystems being connected via a main data transfer bus. The central processor subsystem comprises three central processor modules. Each central processor module (or CPUset) includes a central processing unit (CPU) connected to a private bus, a first bus interface connecting the private bus to a shared bus, and a second bus interface connecting the
25 shared bus to the main bus. The CPUsets are connected over respective private buses to a shared bus. Connected to the shared bus is a slot response memory. The slot response memory includes locations corresponding to respective slots for subsystems on the main bus. According to column 15 of US patent 5,627,965, where a subsystem in a slot is functioning correctly, a location in the slot response memory corresponding

to that slot will contain '0' data and the slot response register will not interfere with data transfers on the main bus. Where the subsystem in a slot becomes defective or absent from the system, then the location in the slot response memory corresponding to that slot is set to '1' and all subsequent attempts to access the defective or absent
5 subsystem will result in artificial termination of the data transfer attempt.

PCT application PCT/US99/12605 is directed to a bridge for a fault tolerant computer system, which bridge connects I/O buses of first and second processing sets to a common I/O device bus. A resource control mechanism in the bridge provides an
10 interface for exchanging signals with one or more resource slots of the device bus, each of the resource slots being capable of communicating with a system resource. The resource control mechanism in the bridge also includes a register associated with each system resource, the register having switchable indicia that indicate an operating state of the associated system resource. The control mechanism is operable in use to
15 direct signals to and/or from respective system resources of the computer system.

The prior arrangements for resource access control as described above have required the provision of a specific system configuration to achieve this, and particularly in the context of a fault tolerant computing system. As a result, such resource access control
20 arrangements are not generally applicable to more conventional computer systems.

An aim of the present invention is to provide an improved approach to resource access control that is applicable to many types of computer systems.

SUMMARY OF THE INVENTION

Particular and preferred aspects of the invention are set out in the accompanying independent and dependent claims. Combinations of features from the dependent
5 claims may be combined with features of the independent claims as appropriate and not merely as explicitly set out in the claims.

In one aspect, the invention provides a resource access control mechanism for a computer system including at least one central processing unit. The resource access
10 control mechanism includes an address translation mechanism for mapping a received address to a resource. A trap handler handles a trap in the event of a faulty resource access being detected. The trap handler is operable to instigate a diversion for subsequent access attempts to the resource. The address translation mechanism is responsive to instigation of a diversion by the trap handler to effect the diversion for
15 subsequent attempts to access the resource.

In an embodiment of the invention, therefore, a trap handler, which can be arranged in a conventional manner to process an exception in the event of a first faulty access to a resource, can instigate a diversion in an address translation mechanism. In this
20 manner, subsequent access attempts to the same resource will result in the access attempt being diverted to a valid (but different) resource. As the system will already be treating the resource as faulty, the fact that the access attempt goes to a resource other than that intended will not cause any further problems, but it will mean that the processor does not have to process another exception for a faulty resource access.

25

The diversion can be instigated by the trap handler identifying an alternative resource address to the address translation mechanism. The diversion can then be effected by the address translation mechanism replacing a resource address held in an address translation entry for the resource with the alternative resource address.

Alternatively, the trap handler can instigate the diversion by simply signaling the address translation mechanism to effect the diversion.

- 5 The address translation mechanism can then react in various ways.

For example, the address translation mechanism can be arranged to replace the usual address translation with an alternative one from some predefined register or memory address.

10

Alternatively, the address translation mechanism can be arranged to set a divert flag in a translation entry of the address translation. The address translation mechanism can then be arranged to respond to a received address relating to an address translation entry having a divert flag that is set to use an alternative address to that held in the

- 15 address translation entry (e.g., in some register or memory address). As a further alternative, the address translation mechanism can be arranged to respond to a received address relating to an address translation entry having a divert flag that is set to modify an address held in the address translation entry.

- 20 If desired, the diversion can be arranged to direct subsequent accesses to a fake response generator operable to generate a faked response to the resource access.

Alternatively, the diversion could point to a predefined memory portion (either directly or indirectly) whereby a response can be provided from a conventional

- 25 memory controller.

The address translation mechanism can include a translation look-aside buffer, and can form part of a memory management unit. The resource concerned could be one or more of at least one memory location, a peripheral device, or a subsystem.

Another aspect of the invention provides a computer system comprising at least one processor that includes at least one central processing unit, memory, at least one peripheral device and a resource access control mechanism as set out above.

5

The computer system can be operable to identify an initial faulty access to a resource.

It can be further operable in response to the initial indication of a faulty resource access to cause the resource access controller to set a fake response indication for a corresponding translation entry for said faulty resource access.

10

A further aspect of the invention provides a method of managing processor access to resources in a computer system. The method includes:

handling a trap in the event of an initial faulty access attempt to a resource being detected;

- 15 defining a diversion for subsequent access attempts to the same resource; and
diverting subsequent access attempts to the resource diversion.

The invention also provides a computer program forming a trap handler for a computer system as set out above. The computer program comprises computer code
20 operable to respond to faulty resource access by processing an exception and
instigating a diversion in an address translation mechanism for subsequent access
attempts to the resource.

The computer program can be in the form of program code carried by a carrier
25 medium. The carrier medium could be a storage medium or a transmission medium,
for example.

An embodiment of the invention can find applicability to computing systems including one or more processors, whether the computing systems are designed

specifically to be fault tolerant or whether the computing systems are designed for more general use.

DESCRIPTION OF THE PREFERRED EMBODIMENTS

Exemplary embodiments of the present invention will be described hereinafter, by way of example only, with reference to the accompanying drawings in which like
5 reference signs relate to like elements and in which:

- Figure 1 is a schematic overview of a computer system;
- Figure 2 is a schematic overview of a processor of the computer system of Figure 1;
- Figure 3 is a schematic block diagram of a known type of processor;
- 10 Figure 4 is a schematic overview of a subsystem including the processor of Figure 3;
- Figure 5 illustrates virtual to physical address translation for the processor of Figure 3;
- Figure 6 illustrates an example of the relationship between virtual and physical address space for the processor of Figure 3;
- Figure 7 is a schematic block diagram illustrating a software view of an example of
15 the memory management unit of the processor of Figure 3;
- Figure 8 illustrates a translation table entry for the memory management unit referred to with reference to Figure 7;
- Figure 9 is a schematic block diagram of software components of a first exemplary implementation of the invention;
- 20 Figure 10 is a flow diagram illustrating the operation of a schematic block diagram of an exemplary embodiment of the invention.

DESCRIPTION OF PARTICULAR EMBODIMENTS

Exemplary embodiments of the present invention are described in the following with reference to the accompanying drawings.

5

Figure 1 is an overview of a computer system 10 that includes a processor 12, a processor bus 14 to which are attached a plurality of subsystems including memory 16 and an I/O bridge 18. The processor 12 can typically be integrated in a single integrated circuit. The I/O bridge 18 provides an interface between the processor bus 10 14 and an I/O bus 20 to which a plurality of I/O devices 22 can be connected.

Figure 2 is a schematic overview of a processor such as the processor 12 of Figure 1. This includes a central processing unit (CPU) 24 connected via an internal bus 26 to a memory management unit (MMU) 28. The CPU 24 is operable to output virtual 15 addresses on the internal bus 26 that are then converted by the MMU 28 into physical addresses for accessing system resources including the memory 16 and the I/O devices 22.

Figure 3 is a schematic block diagram of one type of processor 12, namely an 20 UltraSPARC™ processor marketed by Sun Microsystems, Inc. Further details of the UltraSPARC™ processor can be found, for example, in the UltraSPARC™ I&II User's Manual, January 1997, available from Sun Microsystems, Inc, the content of which is incorporated herein by reference. The present invention can be implemented in a processor having the general overall structure of Figure 3, although it should be 25 appreciated that the invention could equally be implemented in processors having other structures.

In order to explain the present invention, there follows a description of the processor shown in Figure 3.

The UltraSPARC™ processor is a high-performance, highly integrated superscalar processor implementing a 64-bit architecture. The processor pipeline is able to execute up to four instructions in parallel.

5

A Prefetch and Dispatch Unit (PDU) 30 fetches instructions before they are actually needed in the pipeline, so the execution units do not starve for instructions. Prefetched instructions are stored in the Instruction Buffer 32 until they are sent to the rest of the pipeline. An instruction cache (I-cache) 33 is a 16 Kbyte two-way set associative

10 cache with 32 byte blocks.

An Integer Execution Unit (IEU) 34 includes two arithmetic logic units (ALUs), a multi-cycle integer multiplier, a multi-cycle integer divider, eight register windows, four sets of global registers (normal, alternate, MMU, and interrupt globals) and trap

15 registers.

A Floating-Point Unit (FPU) 36 is partitioned into separate execution units, which allow two floating-point instructions to be issued and executed per cycle. Source and result data are stored in a 32-entry Floating-Point (FP) register file (FP Reg) 38. FP

20 Multiply 40, FP Add 42 and FP Divide 44, are all catered for. A Graphics Unit (GRU) 45 provides a comprehensive set of graphics instructions.

The Memory Management Unit (MMU) 28 provides mapping between a 44-bit virtual address and a 41-bit physical address. This is accomplished through a 64-entry

25 instructions translation look-aside buffer (iTLB) 46 for instructions and a 64-entry data translation look-aside buffer (dTLB) 48 for data under the control of MMU control logic 50. Both TLBs are fully associative. The control logic 50 also provides hardware support for a software-based TLB miss strategy. A separate set of global registers 52 is available to process MMU traps.

A Load/Store Unit (LSU) 54 is responsible for generating the virtual address of all loads and stores for accessing a data cache (D-Cache) 56, for decoupling load misses from the pipeline through a load buffer 58, and for decoupling stores through a store
 5 buffer 59.

An External Cache Unit (ECU) 60 handles I-Cache 33 and D-Cache 56 misses efficiently. The ECU 60 can handle one access per cycle to an External Cache (E-Cache) 62. The ECU 60 provides overlap processing during load and store misses.
 10 For instance, stores that hit the E-Cache 62 can proceed while a load miss is being processed. The ECU 60 can process reads and writes and also handle snoops. Block loads and block stores, which load/store a 64-byte line of data from memory to the floating-point register file, are also processed by the ECU 60 to provide high transfer bandwidth without polluting the E-Cache 62.

15

A Memory Interface Unit (MIU) 64 handles all transactions to the system controller, for example, external cache misses, interrupts, snoops, writebacks, and so on.

Figure 4 is a schematic overview of the UltraSPARC™ processor subsystem 66,
 20 which comprises the UltraSPARC™ processor 12, synchronous SRAM components for E-Cache tags and data 621 and 622, and two UltraSPARC™ data buffer (UDB) 68 chips. Typically, the processor 12 will be integrated in a single integrated circuit. The UDBs 68 isolate the E-Cache 62 from the system, provide data buffers for incoming and outgoing system transactions, and provide error correction code (ECC) generation
 25 and checking.

There now follows a description of the Memory Management Unit (MMU) 28 as it is seen by operating system software. In this example, a 44-bit virtual address space is supported with 41 bits of physical address. During each processor cycle the MMU 28

provides one instruction and one data virtual-to-physical address translation. In each translation, the virtual page number is replaced by a physical page number, which is concatenated with the page offset to form the full physical address, as illustrated in Figure 5 for each of four page sizes, namely 8 Kb, 64 Kb, 512 Kb, and 4 Mb. It should be noted that this Figure shows a full 64-bit virtual address, even though only 44 bits of Virtual Address (VA) are supported, as mentioned above.

44-bit virtual address space is implemented in two equal halves at the extreme lower and upper portions of the full 64-bit virtual address space. Virtual addresses between 0000 0800 0000 0000₁₆ and FFFF F7FF FFFF FFFF₁₆, inclusive, are termed "out of range" and are illegal for the UltraSPARC™ virtual address space. In other words, virtual address bits VA<63:44> must be either all zeros or all ones. Figure 6 illustrates the UltraSPARC™ virtual address space.

Figure 7 is a block diagram illustrating the software view of the MMU 28. The operating system maintains translation information in a data structure called the Software Translation Table (STT) 70. The MMU 28 is effectively divided into an instruction MMU (I-MMU) 281 and a data MMU (D-MMU) 282. The I-MMU 281 includes the hardware instructions Translation Lookaside Buffer (iTLB) 46 and the D-MMU 282 includes the hardware instructions Translation Lookaside Buffer (dTLB) 48. These TLBs 46 and 48 act as independent caches of the Software Translation Table 70, providing one-cycle translation for the more frequently accessed virtual pages.

The STT 70, which is kept in memory, is typically large and complex compared to the relatively small hardware TLBs 46 and 48. A Translation Storage Buffer (TSB) 72, which acts like a direct-mapped cache, provides an interface between the STT 70 and the TLBs 46 and 48. The TSB 72 can be shared by all processes running on a processor, or it can be process specific.

When performing an address translation, a "TLB hit" occurs when a desired translation is present in the MMU's on-chip TLBs 46/48. A "TLB miss" occurs when a desired translation is not present in the MMU's on-chip TLBs 46/48. On a TLB
 5 miss the MMU 28 immediately traps to software for TLB miss processing. A software TLB miss handler has the option of filling the TLB by any means available, but it is likely to take advantage of TLB miss hardware support features provided by the MMU control logic 50, since the TLB miss handler is time critical code.

10 There now follows more information on the UltraSPARC™ Memory Management Unit (MMU) 28.

An example of an UltraSPARC™ Translation Table Entry (TTE) of the TSB 72 is shown in Figure 8. This provides a translation entry that holds information for a
 15 single page mapping. The TTE is broken into two 64-bit words, representing the tag and data of the translation. Just as in a hardware cache, the tag is used to determine whether there is a hit in the TSB 72. If there is a hit, the data is fetched by software. The functions of fields of the tag and data words are described below.

20 Tag Word

G – This is a Global bit. If the Global bit is set, the Context field of the TTE is ignored during hit detection. This allows any page to be shared among all (user or supervisor) contexts running in the same processor. The Global bit is duplicated in the
 25 TTE tag and data to optimize the software miss handler.

Context – This is a 13-bit context identifier associated with the TTE.

VA-tag<63:22> – The Virtual Address tag is the virtual page number.

Data Word

V – This is a Valid bit. If the Valid bit is set, the remaining fields of the TTE are
5 meaningful.

Size – This is the page size for this entry.

NFO – This is No-Fault-Only bit. If this bit is set, selected specific loads are
10 translated, but all other accesses will trap with a *data_access_exception* trap.

IE – This is an Invert Endianness bit. If this bit is set, accesses to the associated page are processed with inverse endianness from what is specified by the instruction (big-for-little and little-for-big).

15 Soft<5:0>, Soft2<8:0> - These are software-defined fields provided for use by the operating system. The Soft and Soft2 fields may be written with any value.

Diag – This is a field used by diagnostics to access the redundant information held in the TLB structure. Diag<0>=Used bit, Diag<3:1>=RAM size bits, Diag<6:4>=CAM
20 size bits.

PA<40:13> – This is the physical page number. Page offset bits for larger page sizes in the TTE (PA<15:13>, PA<18:13>, and PA<21:13> for 64Kb, 512Kb, and 4Mb pages, respectively) are stored in the TLB and returned for a Data Access read, but are
25 ignored during normal translation.

L – This is a Lock bit. If this bit is set, the TTE entry will be "locked down" when it is loaded into the TLB; that is, if this entry is valid, it will not be replaced by the automatic replacement algorithm invoked by an ASI store to the Data-In register.

CP, CV – These form cacheable-in-physically-indexed-cache and cacheable-in-virtually-indexed cache bits to determine the placement of data in UltraSPARC™ caches. The MMU does not operate on the cacheable bits, but merely passes them
 5 through to the cache subsystem.

E – This is a Side-effect bit. If this bit is set, speculative loads and FLUSHes will trap for addresses within the page, noncacheable memory accesses other than block loads and stores are strongly ordered against other E-bit accesses, and noncacheable stores
 10 are not merged.

P – This is a Privileged bit. If this bit is set, only the supervisor can access the page mapped by the TTE. If the P bit is set and an access to the page is attempted when PSTATE.PRIV=0, the MMU will signal an *instruction_access_exception* or
 15 *data_access_exception* trap (FT=1₁₆).

W – This is a Writable bit. If the W bit is set, the page mapped by this TTE has write permission granted. Otherwise, write permission is not granted and the MMU will cause a *data_access_protection* trap if a write is attempted. The W-bit in the I-MMU
 20 is read as zero and ignored when written.

G – This is identical to the Global bit in the TTE tag word. The Global bit in the TTE tag word is used for the TSB hit comparison, while the Global bit in the TTE data word facilitates the loading of a TLB entry.

25

The above description of the UltraSPARC™ processor represents an example of a prior art processor. In the following, the application of an embodiment of the invention in the context of such a processor is to be described, it being understood that

the invention can equally be applied to processors of alternative designs and configurations.

5 The present invention relates to the control of resource access in a processor such as described above, or in such alternative designs and configurations. In particular, the invention relates to providing a solution to the problem found in conventional systems, whereby multiple traps are taken, and multiple exception processing is performed, each time access is effected to a faulty resource.

- 10 Where a faulty resource access, as effected for example by a mis-configured or badly written program (or portion of an operating system), is identified by a processor, this is conventionally dealt with by taking a trap or an interrupt. Likewise where accesses are made to faulty or missing peripheral devices, it is conventional for this to be dealt with by a hardware trap or interrupts. These exceptions take time to handle. If
15 exception handling is required for a single faulty access, then this can normally be accommodated without a significant impact on system performance. However, where such faulty accesses are performed repeatedly, this can lead to a significant reduction in performance. Accordingly, the invention seeks to provide a mechanism whereby accesses may be dropped where repeated access to a faulty resource is attempted,
20 whereby the requirement for taking repeated traps and handling repeated exceptions with the consequential logging of exception data in response to repeated faulty accesses to the same resource address can be avoided.

In the following, an embodiment of the invention will be described in which
25 modifications are made to a conventional processor as described above.

Figure 9 is a schematic representation of various software elements in the memory 16 of the processor shown in Figure 1. As represented in Figure 9, an operating system 80 is provided with one or more trap handlers 82. In an embodiment of the invention,

the trap handlers are modified with respect to a conventional trap handler to reference a divert page address 84. The divert page address 84 can be held in a register of a predefined storage location. The trap handler 82 supplies the divert page address to the address translation mechanism, in the present instance the memory management
 5 unit 28 of the processor shown in Figure 1.

The divert page address 84 is used to modify the address translation mapping in the memory management unit for the resource which was faulty and which led to the trap handler 82 having to process an exception. The changing of the mapping is performed
 10 by the control logic of the memory management unit 28 in response to a command from the trap handler, which command identifies the resource address which lead to the fault, and also the divert page address. Storing the divert page address in the TTE corresponding to the resource concerned changes the mapping in the MMU to point to a reliable resource, for example a page 86 of memory. The page 86 of memory can
 15 simply be used as a sink into which faulty accesses are directed. In such a case, the automatic acknowledgement generated by the memory subsystem will provide a response to the access. Alternatively, the divert page address 84 could indicate a hardware faked response generator 88 that responds to any address within a given range, for example a range of at least one page, to provide a faked response.

20

Figure 10 gives an overview of the operation of an embodiment of the invention. In step S1, there is shown a first attempt to access a particular resource R. In step S2, the address of that resource access is translated using the standard address translation in the address translation mechanism formed by the MMU 28. However, as the resource
 25 is faulty, in step S3 the resource access fails.

This leads to a trap being taken and the trap handler being invoked in step S4. The trap handler is then operable in step S5 to supply or otherwise identify the divert address, which can be held in a register, or in a specific memory location, to the

address translation mechanism. The address translation mechanism is then operable in step S6 to modify the TTE for the resource concerned. The modification of the TTE is effected by the control logic 50 of the memory management unit 28. The result of the modification to the TTE is that any subsequent attempts to address the resource will pick up not the address of the resource from the TTE, but rather the address of the divert location or resource (eg, the divert page 86).

The trap handler is also operable in step S7 to effect the processing of the exception caused by the faulty resource access. The exception processing represented by step S7 can be started in parallel with step S5, although this will take a considerably longer time than merely providing the divert address to the memory management unit 28.

Figure 10 also represents a subsequent resource access attempt at S8. As the divert address has already been recorded in the TTE for the received address, the divert address will be used in step S9 for the address translation whereby access is effected in step S10 to the divert resource (eg, the divert page 86). This has the result that a valid resource access will be made (albeit to a resource other than the intended resource), no trap needs to be taken, and no further exception processing needs to be effected.

20

As mentioned above, optionally, a fake response generator 88 could be activated as the divert resource in order to generate a fake response for simulating a true access to the resource to which the original access was directed.

As an alternative, the fake response generator 88 could be implemented in hardware in the memory management unit. The fake response generator could include storage containing fake responses to be returned as the faked response.

In the above-described embodiment of the invention, the resource access control is provided using an address translation mechanism in a processor, for example in the processor's memory management unit. However, the benefits of the invention can also be achieved using address translation mechanisms at other parts of the computer system. One such example is in a bridge such as the I/O bridge 18 between the buses 14 and 20. In such a case, the trap handler would be operable to instruct the address translation mechanism in the bridge to change the appropriate address mapping.

The computer program implementing the trap handler can be provided as a computer program on a carrier medium. The carrier medium could be storage medium (such as an optical, a magneto-optical, a magnetic or a solid state storage medium configured as a disk, tape or solid state device, etc), or a transmission medium (such as a telephonic, wireless, copper, optical or other wired medium, etc), or a mixture thereof, as appropriate.

Accordingly, there has been described an apparatus and a method to provide efficient resource access control in a computer system. A trap handler is provided for handling a trap in the event of a faulty resource access being detected is arranged to define a diversion for subsequent access attempts to the same resource. An address translation mechanism is responsive to indication of a diversion for a resource access to modify an address mapping, whereby subsequent attempts to access the resource are diverted in accordance with the diversion. The trap handler can be arranged in a conventional manner to process an exception of the first faulty access to the resource. However, by defining the diversion, which can be used to map further attempts to access the same resource, unnecessary exception processing can be avoided.

Although particular embodiments of the invention have been described, it will be appreciated that many modifications/additions and/or substitutions may be made within the scope of the invention.

For example, the divert address need not be supplied by the trap handler. The trap handler can simply instigate the diversion by signaling the address translation mechanism to effect the diversion. The address translation mechanism can then be arranged to replace the usual address translation with an alternative one from some
5 predefined register or memory address.

Rather than actually changing the address stored in the TTE, one or more bits in the TTE can be designated as a divert flag, and this divert flag can be set when a divert address is to be used rather than the normal translation address for the TTE. For
10 example an undefined bit (say bit 61) in the tag field of the TTE illustrated in Figure 8 could be designated as a divert flag bit. The divert address can be held, for example, in a predefined register or memory location that forms part of the address translation mechanism. In such an embodiment, steps S5, S6 and S9 of the method described with reference to Figure 10 are modified. In step S5 the trap handler is operable to
15 instruct the address translation mechanism to set the divert flag bit. In step S6, the control logic of the address translation mechanism is operable to modify the TTE for the resource concerned by setting the divert flag bit. In step S9 the address translation mechanism is arranged to react to the flag bit of the TTE entry for the received address to use the divert address from the register or specific memory location for the
20 resource access, rather than the address translation identified in the TTE.

As a further alternative based on the use of a divert flag, rather than using a specific divert address from a divert register or memory location in step S9, the address translation mechanism can be arranged to modify the normal translated address
25 identified in the TTE. Such a remapped address also forms a divert address. For example one bit might be changed to divert the translated address into an otherwise unused address range. A fake response generator can be configured to respond to an address in that otherwise unused address range, whereby the fake response generator alone will respond to the diverted address.

These alternative embodiments enable a diversion to be defined that can be used to map further attempts to access a faulty resource, thereby avoiding unnecessary exception processing.

5

Although the described embodiments employ translation tables, it will be appreciated that the translation entries need not be stored in tabular form, but could be held in any other suitable form, for example in a linked list. Thus for example, where reference is made to a table or sub-table above, this could be replaced by a reference to a list, or

10 sub-list.

Moreover, the invention is not limited to any particular technology for looking up translation entries. For example, where tables are used, these could be fully or set associative as appropriate.

15

An embodiment of processor according to the invention could be used in both single processor and multiple processor systems.

CLAIMS

1. A resource access control mechanism for a computer system including at least one central processing unit, the resource access control mechanism comprising
5 an address translation mechanism operable to map a received address to a resource and a trap handler for handling a trap in the event of a faulty resource access being detected, the trap handler being operable to instigate a diversion for subsequent access attempts to the resource and the address translation mechanism being responsive to instigation of a diversion by the trap handler to
10 effect the diversion for subsequent attempts to access the resource.
2. The resource access control mechanism of claim 1, wherein the trap handler is further operable to process an exception.
- 15 3. The resource access control mechanism of claim 1 or claim 2, wherein the address translation mechanism includes a translation look-aside buffer.
4. The resource access control mechanism of any preceding claim, wherein the address translation mechanism forms part of a memory management unit.
20
5. The resource access control mechanism of any preceding claim, wherein the trap handler is operable to identify an alternative resource address to the address translation mechanism for replacing a resource address held in an address translation entry for the resource.
25
6. The resource access control mechanism of any of claims 1 to 4, wherein the trap handler is operable to instigate the diversion by signaling the address translation mechanism.

7. The resource access control mechanism of claim 6, wherein the address translation mechanism is responsive to instigation of a diversion by the trap handler to replace a resource address held in an address translation entry for the resource with an alternative resource address.
- 5
8. The resource access control mechanism of claim 6, wherein the address translation mechanism is responsive to instigation of a diversion by the trap handler to set a divert flag in a translation entry of the address translation and is further operable to respond to a received address relating to an address translation entry having a divert flag set to use an alternative address to that held in the address translation entry.
- 10
9. The resource access control mechanism of claim 6, wherein the address translation mechanism is responsive to instigation of a diversion by the trap handler to set a divert flag in a translation entry of the address translation and is further operable to respond to a received address relating to an address translation entry having a divert flag set to modify an address held in the address translation entry.
- 15
- 20 10. The resource access control mechanism of any preceding claim, wherein the resource is at least one memory location.
11. The resource access control mechanism of any of claims 1 to 9, wherein the resource is a peripheral device.
- 25
12. The resource access control mechanism of any of claims 1 to 9, wherein the resource is a subsystem.

13. The resource access control mechanism of any preceding claim, wherein the alternative resource is a faked response generator.
14. The resource access control mechanism of any of claims 1 to 12, wherein the alternative resource is a predefined memory portion.
15. A computer system comprising at least one processor that includes at least one central processing unit, memory, at least one peripheral device and the resource access control mechanism of any preceding claim.
16. The computer system of claim 15, operable to identify an initial faulty access to a resource.
17. A method of managing processor access to resources in a computer system, the method comprising:
 - handling a trap in the event of an initial faulty access attempt to a resource being detected;
 - defining a diversion for subsequent access attempts to the same resource;
 - diverting a subsequent attempt to access the resource.
18. The method of claim 17, further comprising processing an exception.
19. The method of claim 17 or claim 18, wherein the defining of a diversion includes replacing a resource address held in an address translation entry for the resource with an alternative resource address.
20. The method of claim 17 or claim 18, wherein the defining of a diversion includes setting a divert flag in an address translation entry for the resource and the diverting of a subsequent attempt to access the resource includes using

an different address to that held in the address translation entry when the divert flag is set.

21. The method of claim 17 or claim 18, wherein the defining of a diversion
5 includes setting a divert flag in an address translation entry for the resource and the diverting of a subsequent attempt to access the resource includes modifying an address held in the address translation entry.
22. The method of any of claims 17 to 21, wherein the diversion is to a predefined
10 memory portion.
23. The method of any of claims 17 to 21, wherein the diversion results in the generation of a faked response to the resource access.
- 15 24. The method of any of claims 17 to 23, wherein the resource is at least one memory location.
25. The method of any of claims 17 to 23, wherein the resource is a peripheral
20 device.
26. The method of any of claims 17 to 23, wherein the resource is a subsystem.
27. A computer program forming a trap handler for a computer system according
25 to claim 15, the computer program comprising computer code operable:
to respond to a faulty resource access by processing an exception; and
to instigate a diversion in an address translation mechanism for subsequent
access attempts to the resource.

28. A computer program product comprising the computer program of claim 23, wherein said program code is carried by a carrier medium.

RESOURCE ACCESS CONTROL

ABSTRACT OF THE DISCLOSURE

5

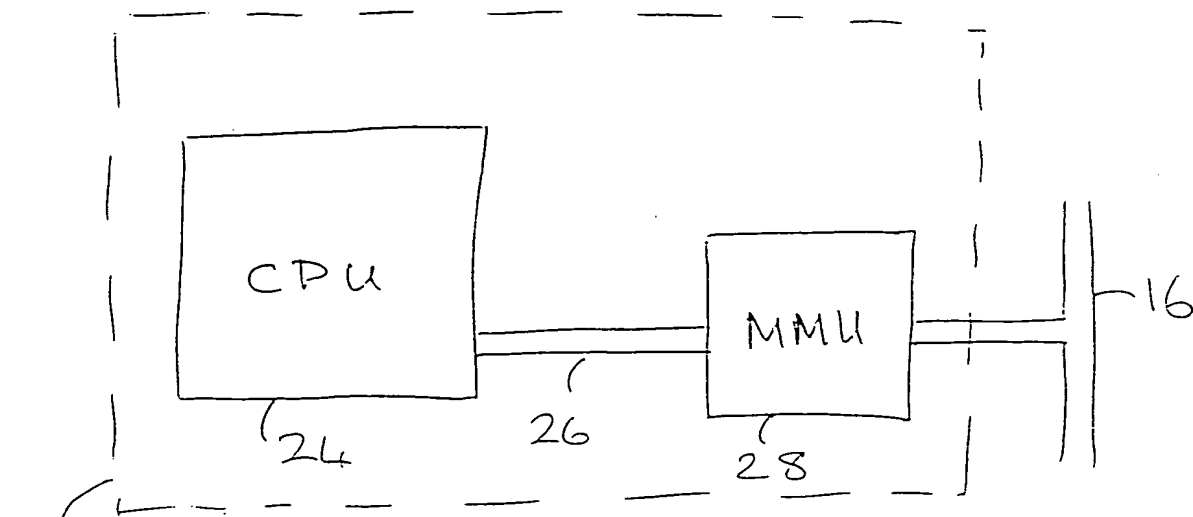
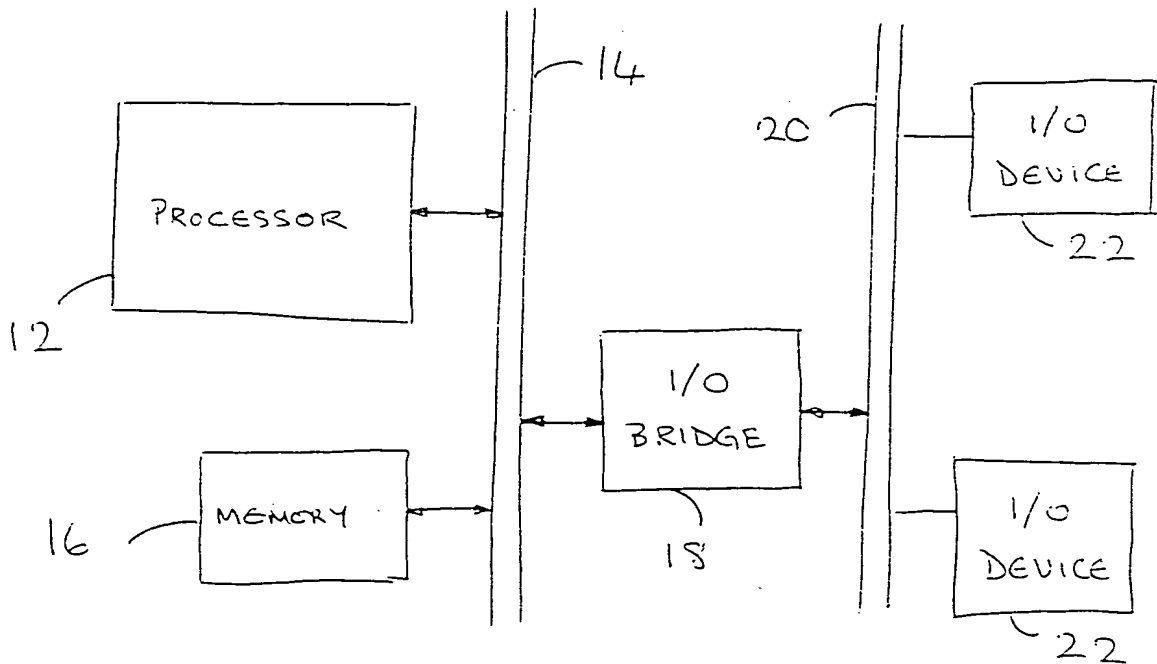
To provide efficient resource access control in a computer system, a trap handler for handling a trap in the event of a faulty resource access being detected is arranged to define a diversion for subsequent access attempts to the same resource. An address translation mechanism is responsive to indication of a diversion for a resource access

10 to modify an address mapping, whereby subsequent attempts to access the resource are diverted in accordance with the diversion. The trap handler can be arranged in a conventional manner to process an exception of the first faulty access to the resource.

However, by defining the diversion, which can be used to map further attempts to access the same resource, unnecessary exception processing can be avoided.

15

Fig 10



This Page Blank (uspto)

2/7

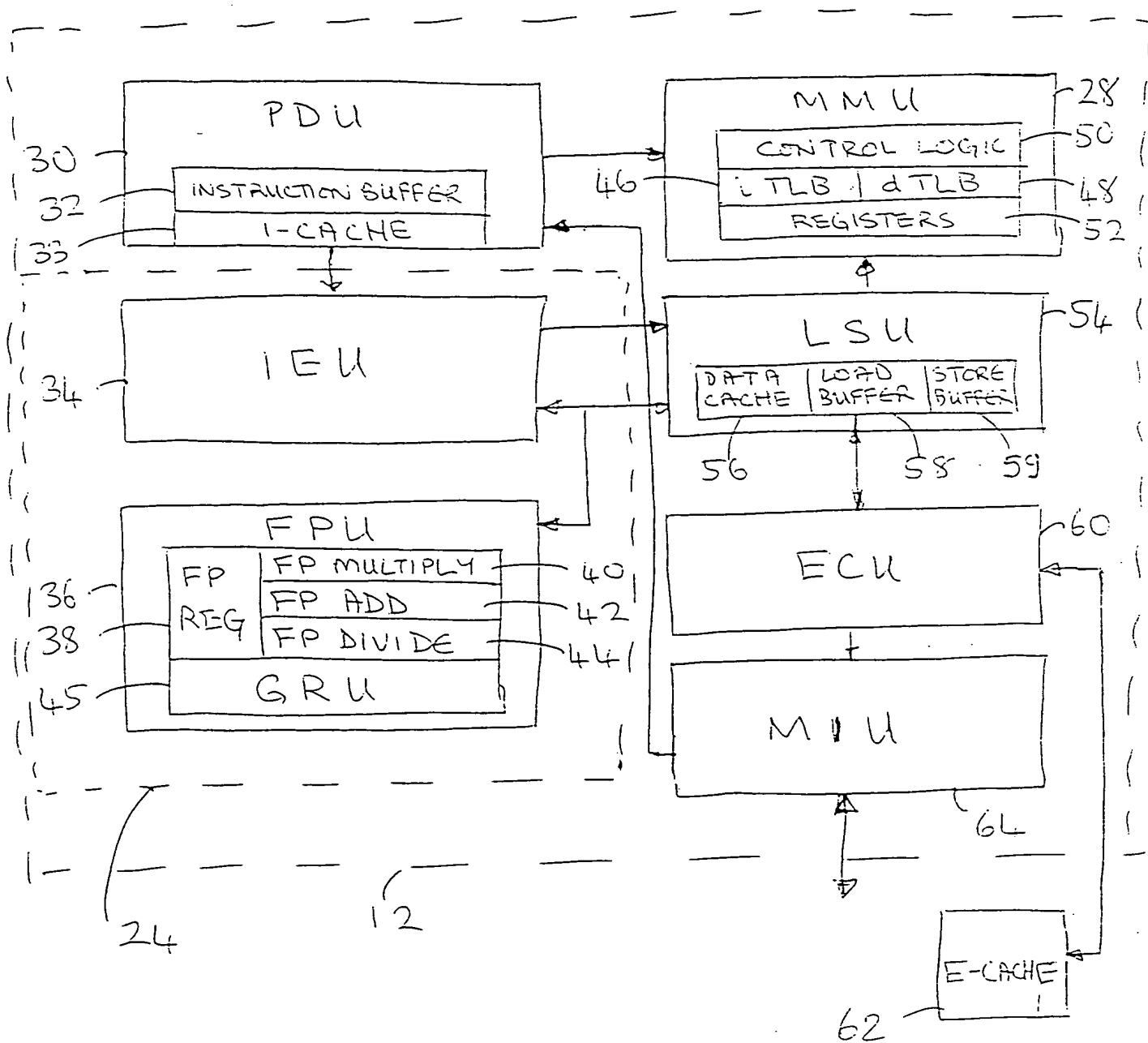


FIG 3

This Page Blank (uspto)

3/7

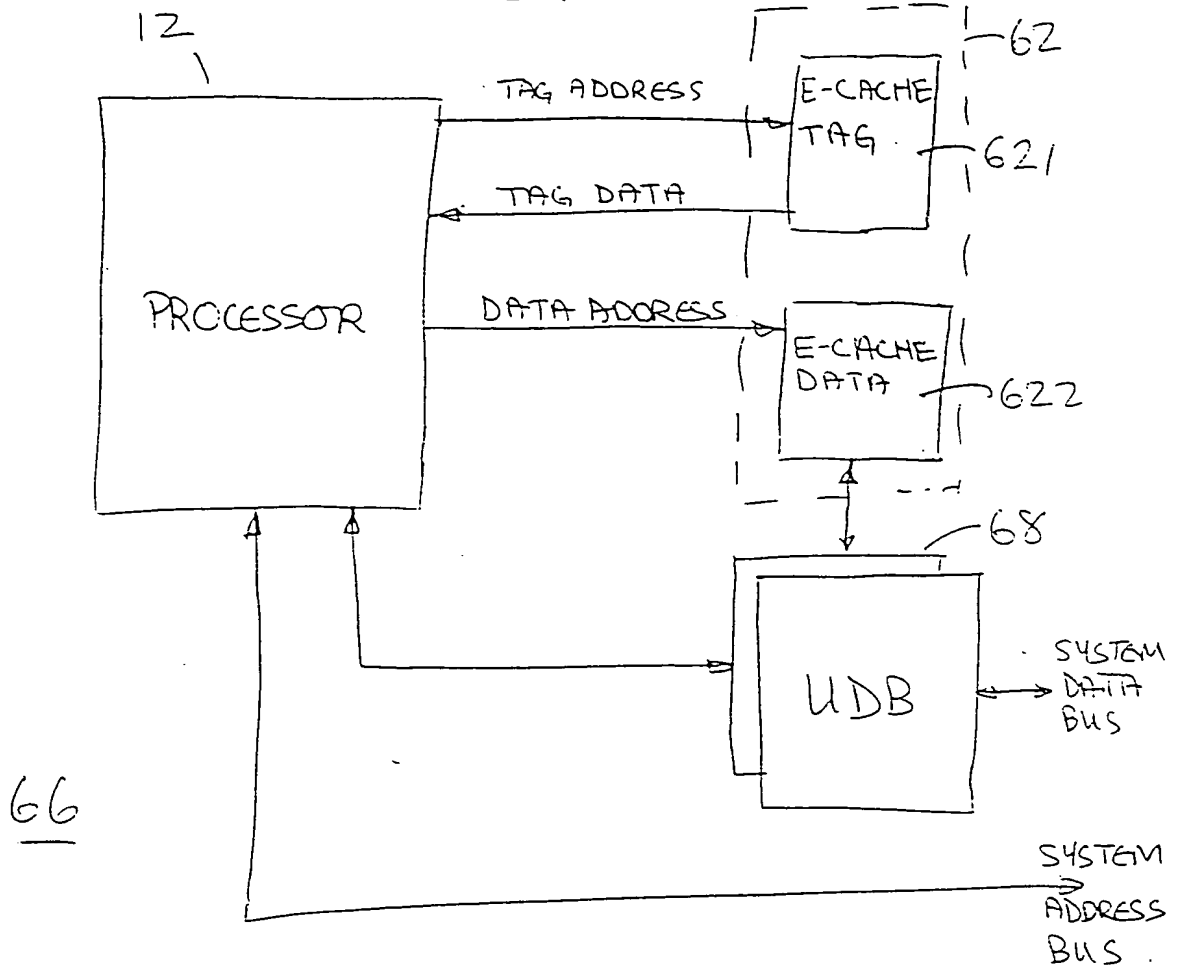


FIG 4

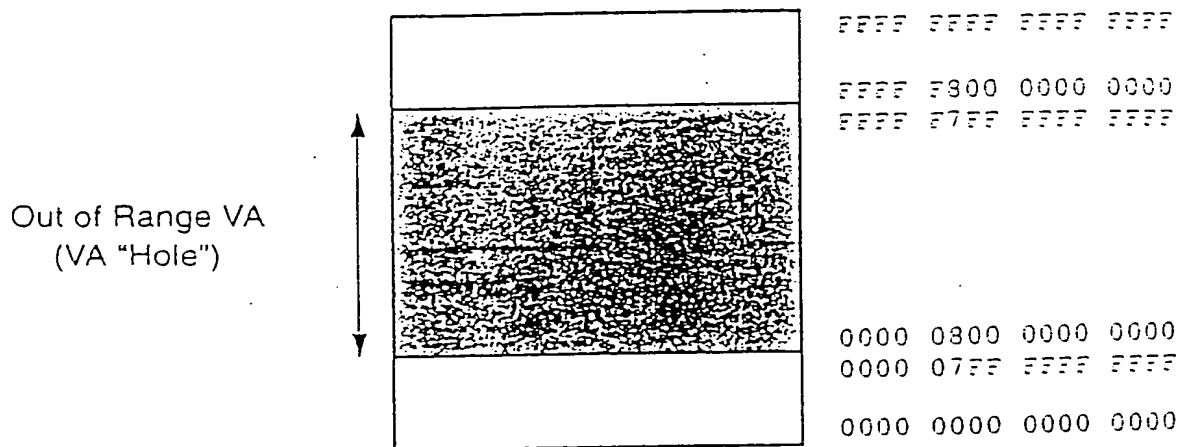


FIG 6

This Page Blank (uspto)

4/7

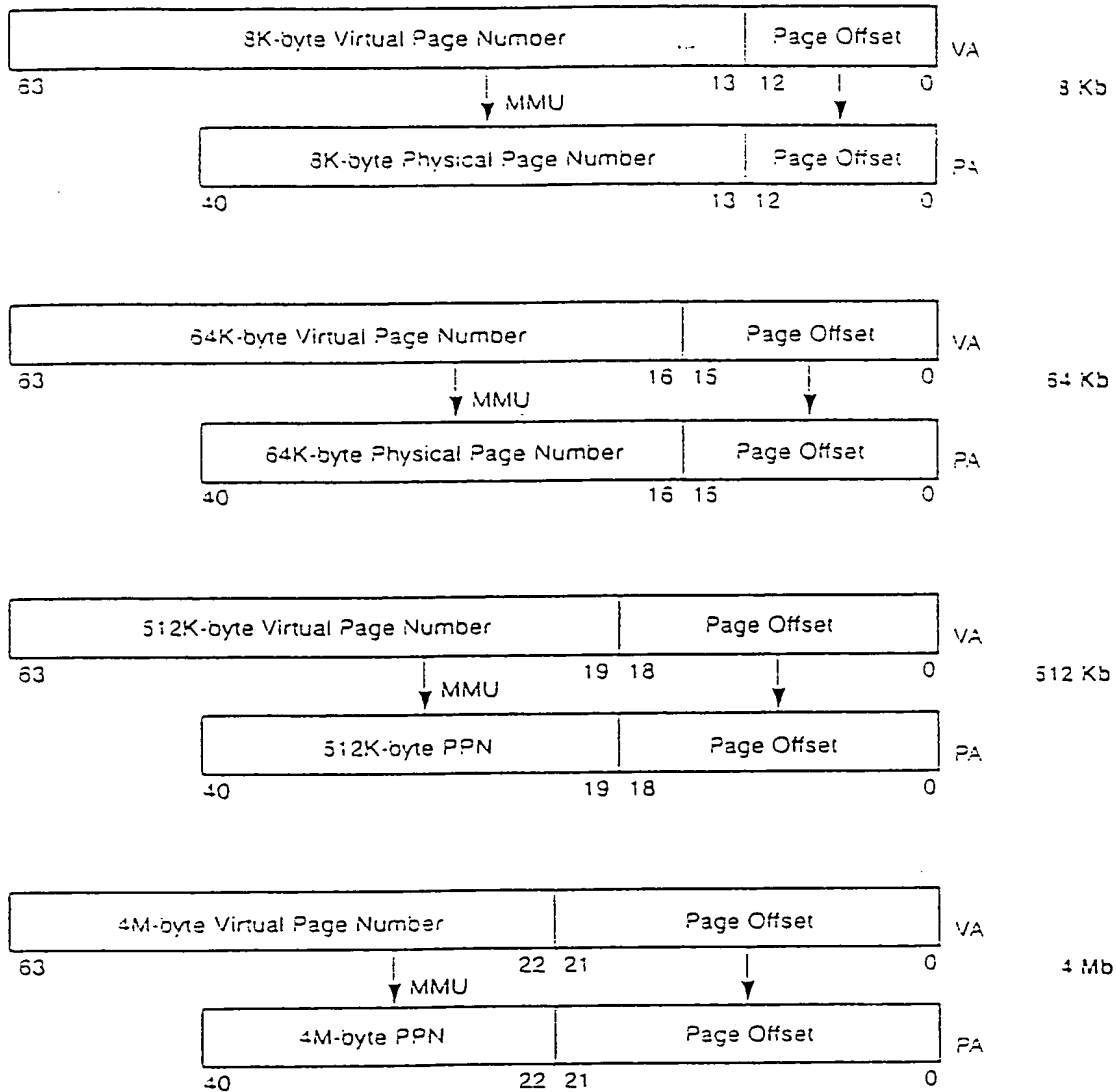


FIG 5

This Page Blank (uspto)

5/7

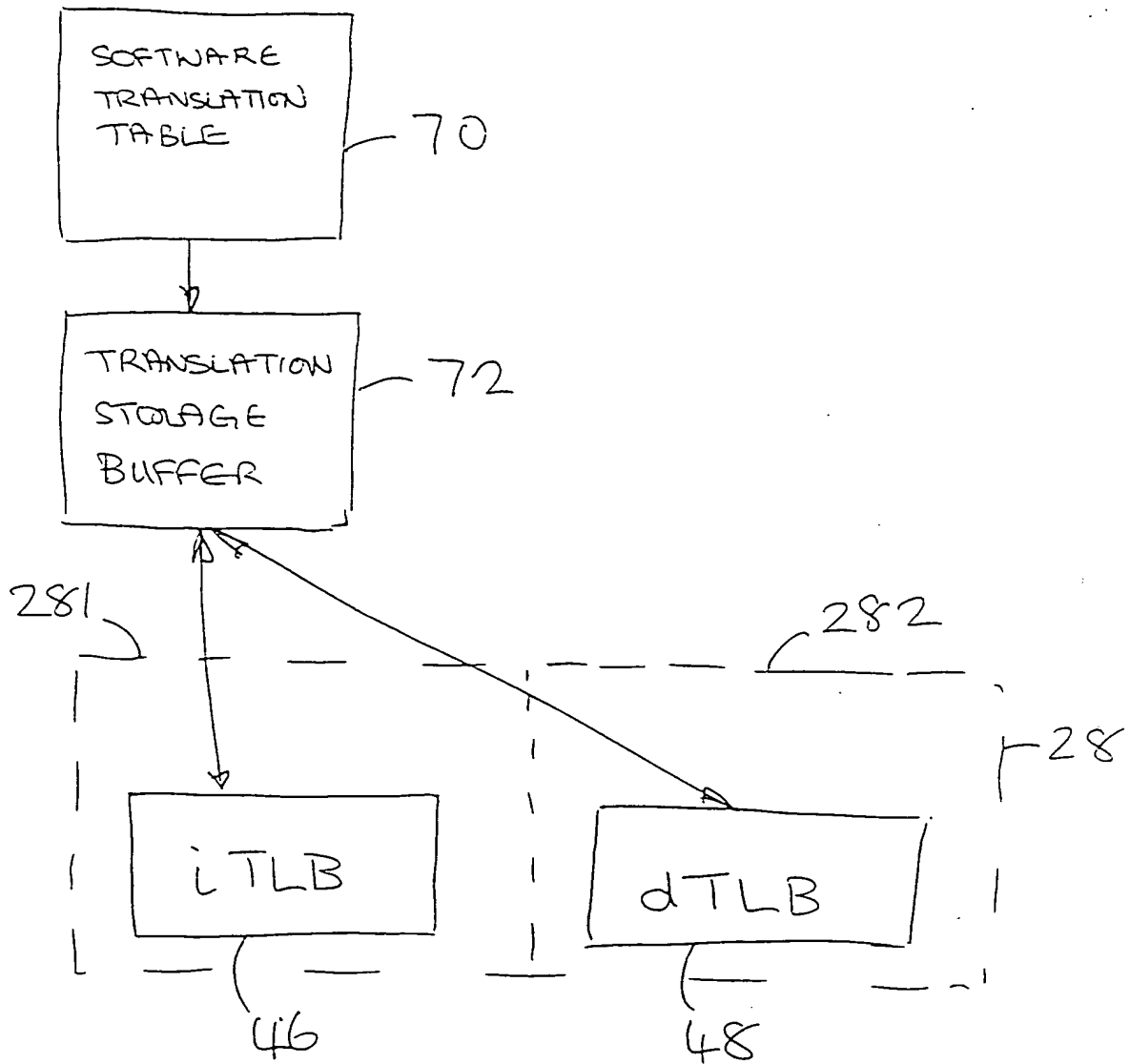


FIG 7

This Page Blank (uspto)

6/7

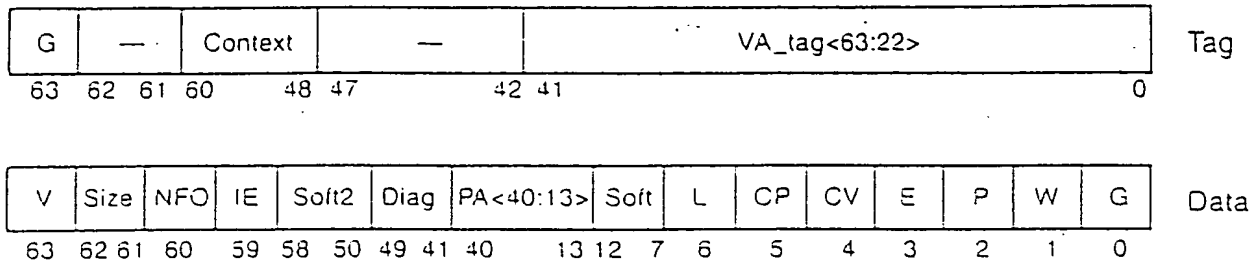


FIG 8

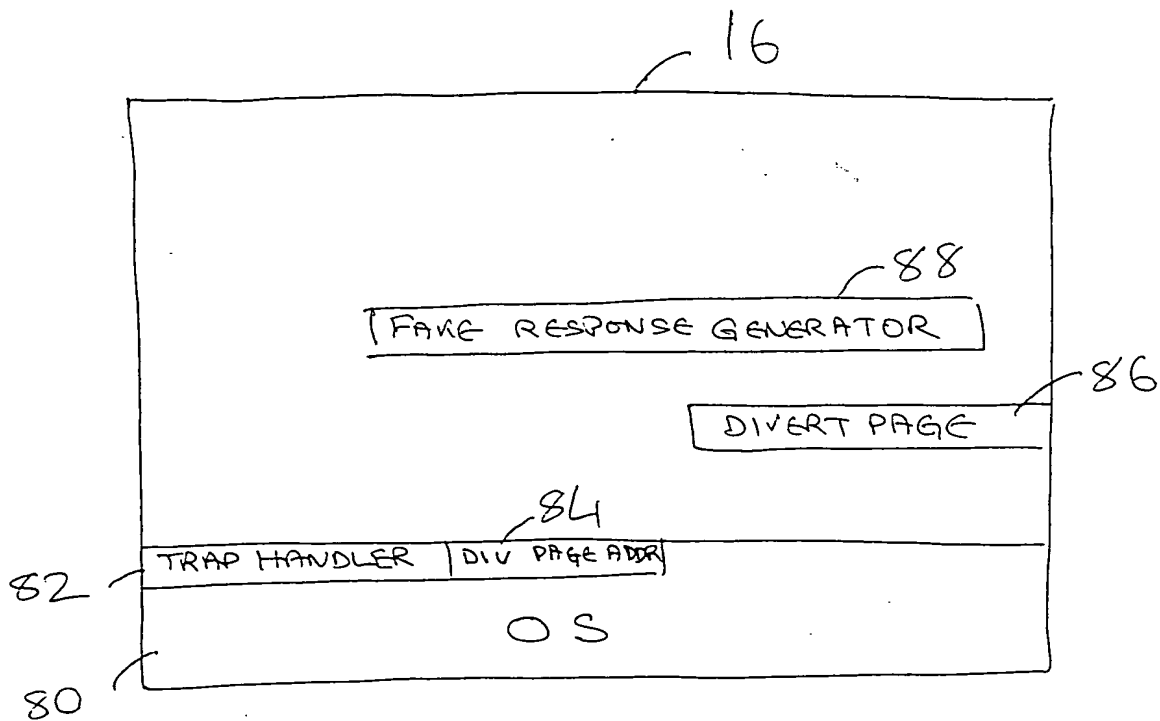
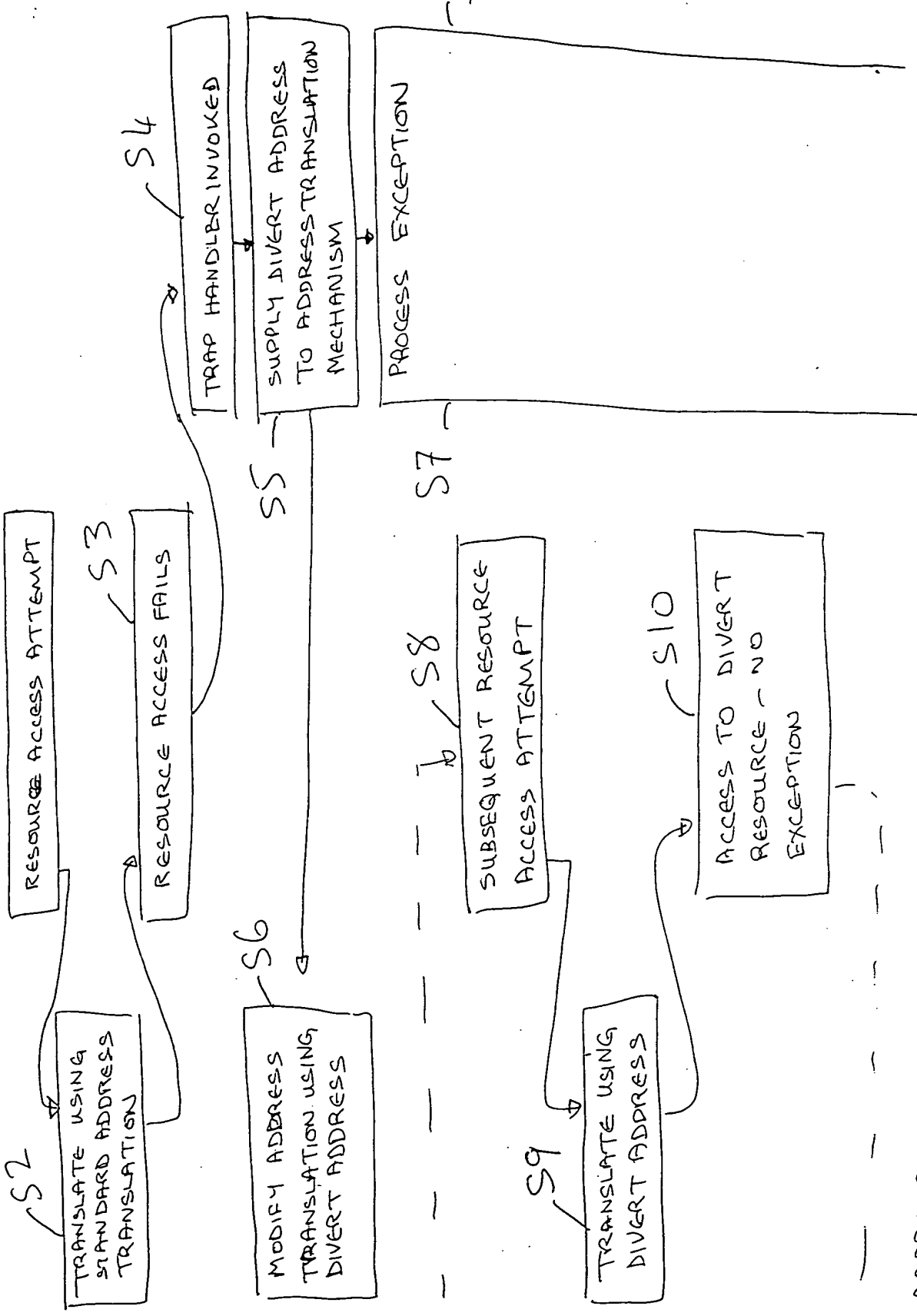


FIG 9

This Page Blank (uspto)

S1



7/7

ADDRESS
TRANSLATION
MECHANISM

RESOURCE
ACCESSES

FIG 10

TRAP HANDLER

This Page Blank (uspto)